UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

FORM 8-K

CURRENT REPORT Pursuant to Section 13 or 15(d) of the Securities Exchange Act of 1934

Date of Report (Date of earliest event reported): April 10, 2019

Allogene Therapeutics, Inc.

(Exact name of registrant as specified in its charter)

001-38693

(Commission

Èile Number)

Delaware (state or other jurisdiction of incorporation)

> 210 East Grand Avenue South San Francisco, California (Address of principal executive offices)

82-3562771 (I.R.S. Employer Identification No.)

94080 (Zip Code)

Registrant's telephone number, including area code: (650) 457-2700 (Former name or former address, if changed since last report.)

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions (see General Instruction A.2. below):

□ Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)

□ Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)

Dere-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))

Dere-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

Indicate by check mark whether the registrant is an emerging growth company as defined in as defined in Rule 405 of the Securities Act of 1933 (§ 230.405 of this chapter) or Rule 12b–2 of the Securities Exchange Act of 1934 (§ 240.12b–2 of this chapter).

Emerging growth company ⊠

If an emerging growth company, indicate by check mark if the registrant has elected not to use the extended transition period for complying with any new or revised financial accounting standards provided pursuant to Section 13(a) of the Exchange Act.

Item 8.01 Other Events.

Allogene Therapeutics, Inc., a Delaware corporation (the "Company"), filed its amended and restated certificate of incorporation on October 15, 2018 (the "Certificate of Incorporation") with the Secretary of State of the State of Delaware. The Company also adopted amended and restated bylaws that became effective on October 15, 2018 (the "Bylaws"). Article VII of the Certificate of Incorporation and Article XV of the Bylaws each provides in part, that, unless the Company consents in writing to the selection of an alternative forum, the federal district courts of the United States of America shall be the exclusive forum for the resolution of any complaint asserting a cause of action arising under the Securities Act of 1933 (such provision, a "Federal Forum Provision").

On December 19, 2018, the Delaware Court of Chancery issued a decision in *Matthew Sciabacucchi v. Matthew B. Salzberg* et al., C.A. No. 2017-0931-JTL (Del. Ch.), finding that provisions such as the Federal Forum Provision are invalid under Delaware law. In light of this decision of the Delaware Court of Chancery, the Company does not intend to enforce the Federal Forum Provision in the Certification of Incorporation and in the Bylaws unless and until such time that the Court of Chancery, or the Delaware Supreme Court, determines that such a provision is valid under Delaware law. To the extent the Delaware Supreme Court makes a final determination that provisions such as the Federal Forum Provision are invalid as a matter of Delaware law, the Company's Board of Directors intends to amend the Bylaws to remove the Federal Forum Provision in the Bylaws and anticipates seeking stockholder approval to amend the Certificate of Incorporation to remove the Federal Forum Provision in the Certification of Incorporation.

SIGNATURES

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

ALLOGENE THERAPEUTICS, INC.

By: /s/ David Chang, M.D., Ph.D.

David Chang, M.D., Ph.D. President, Chief Executive Officer

Dated: April 10, 2019