SEC Form 4

FORM 4

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OMB APPROVAL

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

			of Section So(ii) of the investment Company Act of 1940					
1. Name and Address of Reporting Person*			2. Issuer Name and Ticker or Trading Symbol Allogene Therapeutics, Inc. [ALLO]	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)				
Chang David	<u>D</u>			X Director 10% Owner				
(Last) 210 EAST GRA	(First) (Middle) AND AVENUE		3. Date of Earliest Transaction (Month/Day/Year) 03/26/2021	X Officer (give title Other (specify below) below) President and CEO				
(Street) SOUTH SAN FRANCISCO	CA	94080	4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Individual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting Person Form filed by More than One Reporting				
(City)	(State)	(Zip)	erivative Securities Acquired. Disposed of, or Bene	Person ficially Owned				

1. Title of Security (Instr. 3) 2A. Deemed 3. Transaction Code (Instr. 8) 4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5) 6. Ownership 7. Nature of 2. Transaction 5. Amount of Form: Direct (D) or Indirect (I) (Instr. 4) Indirect Beneficial Date Execution Date, Securities if any (Month/Day/Year) Beneficially Owned Following (Month/Day/Year) Ownership (Instr. 4) Reported Transaction(s) (Instr. 3 and 4) (A) or (D) Code v Amount Price Common Stock 03/26/2021 A 80,452 A \$0.00 2,284,869 D See Common Stock 1,201,108 T footnote⁽¹⁾ See **Common Stock** 650,266 T footnote⁽²⁾ See **Common Stock** 650,266 I footnote⁽³⁾

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

	(e.g., puts, cans, warrants, options, convertible securities)														
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (8)				Expiration Date (Month/Day/Year) A) ed r.		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		(Instr. 4)		
Stock Option (Right to Buy)	\$33.78	03/26/2021		A		298,836		(4)	03/26/2031	Common Stock	298,836	\$0.00	298,836	D	

Explanation of Responses:

1. Securities held in the name of the Chang 2006 Family Trust.

2. Securities held in the name of the JEC 2019 Trust dated October 1, 2019.

3. Securities held in the name of the RTC 2019 Trust dated October 1, 2019.

4. 25% of the shares subject to the stock option shall vest on March 13, 2022, and the remaining shares shall vest in 36 equal monthly installments thereafter.

Remarks:

/s/ Vee	Bhavnagri, Attorney-in- 03/30/2021
Fact	<u>03/30/2021</u>

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 \ast If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.