SEC Form 4

FORM	4
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UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL						
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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).
to Section 16. Form 4 or Form 5 obligations may continue. See

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person [*] <u>TPG Group Holdings (SBS) Advisors</u> , <u>Inc.</u>			2. Issuer Name and Ticker or Trading Symbol <u>Allogene Therapeutics, Inc.</u> [ALLO]								5. Relationship of Reporting Person(s) to Issuer (Check all applicable) Director X 10% Owner Officer (give title Other (specify							
						3. Date of Earliest Transaction (Month/Day/Year) 06/15/2020								below) below)				
301 COMMERCE STREET, SUITE 3300			4. If Amendment, Date of Original Filed (Month/Day/Year)									6. Individual or Joint/Group Filing (Check Applicable Line)						
(Street) FORT WORTH TX 76102												Form filed by One Reporting Person X Form filed by More than One Reporting Person						
(City)	(S	tate)	(Zip)															
		Та	ble I - Non-De	riva	tive	Secu	rities	Acq	uired, Dis	posed	of	f, or Be	enefi	icially Own	ed			
1. Title of Security (Instr. 3)		2. Transaction Date (Month/Day/Year)	Exe if an	Deeme cution ly nth/Day	Date,	3. Transaction Code (Instr. 8)				(A) or 3, 4 and 5)		5. Amount of Securities Beneficially Owned	6. Ownership Form: Direct (D) or	7. Nature of Indirect Beneficial Ownership (Instr. 4)				
							Code	v	Amount	(A) or (D)	Pri	rice	F 1	Following Reported Transaction(s) (Instr. 3 and 4)	Indirect (I) (Instr. 4)			
Common	ı Stock		06/15/2020				S		129,154	D	\$4	42.1426	5 ⁽³⁾	20,597,932	I	See Explanation of Responses ⁽¹⁾⁽²⁾ (6)(7)		
Common	ı Stock		06/16/2020				S		174,511	D	\$4	42.2018	8(4)	20,423,421	I	See Expl of Respon (6)(7)		
Common	Common Stock 06/17/2020					S		87,319	D	\$4	42.1842 ⁽⁵⁾		20,336,102	I	See Explanation of Responses ⁽¹⁾⁽²⁾ (6)(7)			
			Table II - Deri (e.g.						red, Dispo options, c						k			
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transactio Date (Month/Day/Y	n 3A. Deemed Execution Da	te,	4. Transa Code 8)	action	5. Nun of Deriva Securi Acquir (A) or Dispos of (D) (Instr. and 5)	nber tive ties red sed 3, 4	6. Date Exerci Expiration Da (Month/Day/Y	isable ar te			and it of ties ying tive ty (Inst	8. Price of Derivative Security (Instr. 5)	vative derivative rity Securities	Ownership of Indi Form: Benefi Direct (D) Owner	11. Nature of Indirect Beneficial Ownership (Instr. 4)	
					Code	v	(A)		Date Exercisable	Expirati Date	ion		Amour or Numbe of Shares	er				
		f Reporting Per	rson [*] S) Advisors, 3	Inc								· · ·				4	*	
(Last)		(First)	(Middle)	<u>IIIC.</u>		-												
	G GLOBAI	L, LLC STREET, SU	JITE 3300															
(Street)						-												
FORT W	/ORTH	TX	76102			_												
(City)		(State)	(Zip)															
	nd Address o ERMAN	f Reporting Per	rson*															
	G GLOBAI	(First) L, LLC STREET, SU	(Middle) JITE 3300															
(Street) FORT W	/ORTH	ТХ	76102			-												

(City)	(State)	(Zip)					
1. Name and Address of Reporting Person* COULTER JAMES G							
(Last) C/O TPG GLOBA 301 COMMERCE	(First) AL, LLC E STREET, SUITE 3	(Middle) 300					
(Street) FORT WORTH	ТХ	76102					
(City)	(State)	(Zip)					

Explanation of Responses:

1. David Bonderman and James G. Coulter are the sole shareholders of TPG Group Holdings (SBS) Advisors, Inc. (together with Messrs. Bonderman and Coulter, the "Reporting Persons"), which is the sole member of TPG Group Holdings (SBS) Advisors, LLC, which is the general partner of TPG Group Holdings (SBS), L.P., which is the sole member of TPG Holdings I-A, LLC, which is the general partner of TPG Holdings I, L.P., which is the sole member of each of (i) TPG GenPar VII Advisors, LLC and (ii) The Rise Fund GenPar Advisors, LLC. TPG GenPar VII Advisors, LLC is the general partner of TPG GenPar VII, L.P., which is the general partner of TPG GenPar VII, L.P., which is the general partner of TPG GenPar VII, L.P., which is the general partner of TPG GenPar VII, L.P., which is the general partner of TPG GenPar VII, L.P., which is the general partner of TPG GenPar VII, L.P., which is the general partner of TPG GenPar VII, L.P., which is the general partner of TPG GenPar VII, L.P., which is the general partner of TPG GenPar VII, L.P., which is the general partner of TPG GenPar VII, L.P., which is the general partner of TPG GenPar VII, L.P., which is the general partner of TPG GenPar VII, L.P., which is the general partner of TPG GenPar VII, L.P., which directly holds 13,557,398 shares of Common Stock ("Common Stock") of Allogene Therapeutics, Inc.

2. The Rise Fund GenPar Advisors, LLC is the general partner of The Rise Fund GenPar, L.P., which is the general partner of The Rise Fund Carthage, L.P. (together with TPG Carthage Holdings, L.P., the "TPG Funds"), which directly holds 6,778,704 shares of Common Stock.

3. The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$42.01 to \$42.42, inclusive. The Reporting Persons undertake to provide to the Issuer, any security holder of the Issuer, or the staff of the Securities and Exchange Commission (the "Commission"), upon request, full information regarding the number of shares sold at each separate price within the range set forth above.

4. The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$42.01 to \$42.835, inclusive. The Reporting Persons undertake to provide to the Issuer, any security holder of the Issuer, or the staff of the Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth above.

5. The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$42.01 to \$42.53, inclusive. The Reporting Persons undertake to provide to the Issuer, any security holder of the Issuer, or the staff of the Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth above.

6. Because of the relationship between the Reporting Persons and the TPG Funds, the Reporting Persons may be deemed to beneficially own the securities reported herein to the extent of the greater of their respective direct or indirect pecuniary interests in the profits or capital accounts of the TPG Funds. Each TPG Fund and each Reporting Person disclaims beneficial ownership of the securities reported herein, except to the extent of such TPG Fund's or such Reporting Person's pecuniary interest therein, if any.

7. Pursuant to Rule 16a-1(a)(4) under the Securities Exchange Act of 1934, as amended (the "Exchange Act"), this filing shall not be deemed an admission that the Reporting Persons are, for purposes of Section 16 of the Exchange Act or otherwise, the beneficial owners of any equity securities in excess of their respective pecuniary interests.

Remarks:

8. The Reporting Persons are jointly filing this Form 4 pursuant to Rule 16a-3(j) under the Exchange Act. 9. Gerald Neugebauer is signing on behalf of both Messrs. Bonderman and Coulter pursuant to authorization and designation letters dated February 26, 2020, which were previously filed with the Commission.

<u>/s/ Michael LaGatta, Vice</u>	
President, TPG Group	06/17/2020
Holdings (SBS) Advisors, Inc.	00/1//2020
<u>(8)</u>	
<u>/s/ Gerald Neugebauer, on</u>	
behalf of David Bonderman	06/17/2020
<u>(8) (9)</u>	
<u>/s/ Gerald Neugebauer, on</u>	
behalf of James G. Coulter (8)	<u>06/17/2020</u>
<u>(9)</u>	
** Signature of Reporting Person	Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.