FORM 4

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION

| Washington, | D.C. | 20549 |
|-------------|------|-------|
|-------------|------|-------|

| STATEMENT | OF CHANGES I | N BENEFICIAL | OWNERSHIP |
|-----------|--------------|--------------|-----------|
|           |              |              |           |

| OMB APPROVAL             |     |  |  |  |  |  |  |  |  |  |
|--------------------------|-----|--|--|--|--|--|--|--|--|--|
| OMB Number: 3235-028     |     |  |  |  |  |  |  |  |  |  |
| Estimated average burden |     |  |  |  |  |  |  |  |  |  |
| hours per response       | 0.5 |  |  |  |  |  |  |  |  |  |

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| Name and Address of Reporting Person*     MOORE ALISON   |  |  |  |        |                 | 2. Issuer Name and Ticker or Trading Symbol Allogene Therapeutics, Inc. [ ALLO ] |          |  |            |  |       |                  |   | (Che  | eck all applic | able)   | ,  |               | uer<br>vner<br>specify   |  |
|--|--|--|--|--------|-----------------|--|----------|--|------------|--|-------|------------------|---|---|----------------|---|--|---------------|--|--|
| (Last) 210 EAS   | (F<br>T GRAND  | •  | (Middle)   |        |                 | 3. Date of Earliest Transaction (Month/Day/Year) 08/13/2020                      |          |  |            |  |       |                  |   |   | below)         |   | below)   |               | ,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,                                  |  |
| (Street) SOUTH FRANCI                                    | - C  | A  | 94080  |        | 4. If           | 4. If Amendment, Date of Original Filed (Month/Day/Year)                         |          |  |            |  |       |                  | Line  | Individual or Joint/Group Filing (Check Applicable ne)  X Form filed by One Reporting Person Form filed by More than One Reporting Person |                |   |  |               |  |  |
| (City)   | (S   | tate)                                      | (Zip)  |        |                 |  |          |  |            |  |       |                  |   |   |                |   |  |               |  |  |
|  | Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned   |  |  |        |                 |  |          |  |            |  |       |                  |   |   |                |   |  |               |  |  |
| 1. Title of Security (Instr. 3)  2. Transa Date (Month/D |  |  |  |        | Execution Date, |  | <u>,</u> | Transaction Disposed   |            | ities Acquired (A) or<br>d Of (D) (Instr. 3, 4 and |       |                  | 5. Amou<br>Securitie<br>Benefici<br>Owned F<br>Reporte                                | s Formulay (D) (collowing (I) (I  |                | n: Direct<br>r Indirect<br>istr. 4)                 | 7. Nature<br>of Indirect<br>Beneficial<br>Ownership<br>(Instr. 4)  |               |  |  |
|  |  |  |  |        |                 |  |          |  |            | Code   | v     | Amount           | (A)<br>(D)  | or  | Price          | Transaci<br>(Instr. 3                               | ion(s)   |               |  |  |
| Common Stock 08/13,                                      |  |  |  |        |                 | /2020  |          |  | M          |  | 32,45 | 32,451 A         |   | \$2.27  | 126,272        |   |  | D             |  |  |
|  | Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities) |  |  |        |                 |  |          |  |            |  |       |                  |   |   |                |   |  |               |  |  |
| 1. Title of<br>Derivative<br>Security<br>(Instr. 3)      | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security  | 3. Transaction<br>Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution D<br>if any<br>(Month/Day/ | ate, 1 |                 | ansaction<br>ode (Instr.   |          | 5. Number<br>of<br>Derivative<br>Securities<br>Acquired<br>(A) or<br>Disposed<br>of (D) (Instr.<br>3, 4 and 5) |            | Date Exe<br>piration<br>onth/Day                   | Date  |                  | 7. Title and Am<br>of Securities<br>Underlying<br>Derivative Secu<br>(Instr. 3 and 4) |   | ecurity        | 8. Price of<br>Derivative<br>Security<br>(Instr. 5) | 9. Number<br>derivative<br>Securities<br>Beneficiall<br>Owned<br>Following<br>Reported<br>Transactio<br>(Instr. 4) | e<br>s<br>lly | 10.<br>Ownership<br>Form:<br>Direct (D)<br>or Indirect<br>(I) (Instr. 4) | 11. Nature<br>of Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |
|  |  |  |  |        | Code            | v  | (A)      | (D)  | Dat<br>Exe | te<br>ercisable                                    |       | xpiration<br>ate | Title   | 0 0   | lumber         |   |  |               |  |  |
| Stock<br>Option<br>(Right to<br>Buy)                     | \$2.27   | 08/13/2020                                 |  |        | М               |  |          | 32,451   |            | (1)  | 0     | 6/25/2028        | Commo<br>Stock  | n 3   | 32,451         | \$0.00  | 685,75   | 51            | D  |  |

## **Explanation of Responses:**

1. One-fourth of the 892,500 shares subject to the stock option vested on June 1, 2019, and the remaining shares vest in 36 successive equal monthly installments thereafter.

## Remarks:

/s/ Veer Bhavnagri, Attorneyin-Fact

08/14/2020

\*\* Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- $^{\star}$  If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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