SEC Form 3 FORM 3

UNITED STATES SECURITIES AND EXCHANGE

COMMISSION

Washington, D.C. 20549

OMB APPROVAL

3235-OMB Number: 0104

Estimated average burden hours per response: 0.5

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person [*] SATO VICKI L	2. Date of Requiring (Month/Da 07/30/20	Statement y/Year)	3. Issuer Name and Ticker or Trading Symbol <u>Allogene Therapeutics, Inc.</u> [ALLO]					
(Last) (First) (Middle) 210 EAST GRAND AVENUE (Street) SOUTH SAN FRANCISCO CA 94080 (City) (State) (Zip)			4. Relationship of Reporting Issuer (Check all applicable) X Director Officer (give title below)	Person(s) 10% O Other (below)	wner	File 6. Ir	f Amendment, Date of Original ed (Month/Day/Year) ndividual or Joint/Group Filing neck Applicable Line) Form filed by One Reporting Person Form filed by More than One Reporting Person	
Table I - Non-Derivative Securities Beneficially Owned								
1. Title of Security (Instr. 4)			2. Amount of Securities Beneficially Owned (Instr. 4)			4. Nature of Indirect Beneficial Ownership (Instr. 5)		
Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)								
	2. Date Exercisable and Expiration Date Month/Day/Year)		3. Title and Amount of Sec Underlying Derivative Sec (Instr. 4)			sion (cise	5. Ownership Form: Direct (D)	6. Nature of Indirect Beneficial Ownership (Instr.
I I I I I I I I I I I I I I I I I I I	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	nt Derivative Security er		or Indirect (I) (Instr. 5)	5)
Stock Option (Right to Buy)	(1)	09/16/2030	Common Stock	3,000	36.3	1	D	

Explanation of Responses:

1. 50% of the shares subject to the stock option vested on October 31, 2020 and 50% of the shares subject to the stock option vested on February 28, 2021.

Remarks:



08/03/2021

** Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 5 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.