FORM 4

Check this box if no longer subject

## **UNITED STATES SECURITIES AND EXCHANGE COMMISSION**

Washington, [	D.C. 20549
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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL								
OMB Number: 3235-0287								
Estimated average burden								
hours per response	: 0.5							

to Section 16. Form 4 or Form 5 obligations may continue. See Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940 Instruction 1(b).

Name and Address of Reporting Person*     Smith Lillian						2. Issuer Name and Ticker or Trading Symbol Allogene Therapeutics, Inc. [ ALLO ]								(Ch	eck all app Direc	onship of Reporti Il applicable) Director Officer (give title		rson(s) to Is 10% O Other (	wner
(Last) (First) (Middle) 210 EAST GRAND AVE					3. Date of Earliest Transaction (Month/Day/Year) 04/01/2023									below	v) `` /P, Corpor	rate C	below)		
(Street) SOUTH FRANCI	C 1	A 9	4080		4. If Amendment, Date of Original Filed (Month/Day/Yea						y/Year	·)	Line	Individual or Joint/Group Filing (Check Applicine)     X Form filed by One Reporting Person     Form filed by More than One Reportine Person					
(City)	(St	ate) (Z	<u>Z</u> ip)												. 0.00				
		Table	I - No	n-Deriva	tive S	Secu	rities	Acq	uired,	Dis	posed of	, or E	3ene	ficia	lly Own	ed			
1. Title of Security (Instr. 3)  2. Transa Date (Month/D			Execution Date,			3. Transaction Code (Instr. 8) 4. Securities Ac Disposed Of (D) 5)						Benefic	ties cially Following	Form (D) o	wnership n: Direct or Indirect nstr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)			
									Code	v	Amount	(A) (D)	or I	Price	Transa	ction(s) 3 and 4)			(1110411. 4)
Common Stock 04/01/2				2023 04/03/202		.023	A		56,545(1	(1) A		<b>\$0</b>	140,390			D			
	Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)																		
1. Title of Derivative Security (Instr. 3)	tive Conversion Date Execution Date, ity or Exercise (Month/Day/Year) if any		4. Transaction Code (Instr. 8)		of Deriv Secu Acqu (A) o Disp of (D	r osed ) r. 3, 4	6. Date Exerc Expiration Da (Month/Day/Y		te ear)	7. Title and Amount of Securities Underlying Derivative Security (Ins 3 and 4)		str.	8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction (Instr. 4)	Ownersh Form: Direct (D) or Indirect (I) (Instr.	Ownership	Beneficial Ownership t (Instr. 4)		
					Code	Code V (A) (D)		Date Exercis	e Expiration prcisable Date		Title	of Share	es						

## **Explanation of Responses:**

1. Represents performance RSUs which shall vest as follows: (1) 33% shall vest if the 30-day weighted average stock price is equal to or greater than \$18 and (2) 67% shall vest upon the first regulatory approval of a product candidate. Notwithstanding the foregoing (1) any portion that vests upon the stock price threshold shall no longer vest and be terminated on March 22nd, 2026 if such threshold has not been met, and (2) any portion that vests upon product candidate approval shall no longer vest and be terminated after March 22nd, 2028 if such approval has not occurred.

## Remarks:

/s/Lillian Smith

04/05/2023

\*\* Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.